

DSUMF Investment Service

1. What is the DSUMF Investment Service?

The Desert Southwest United Methodist Foundation's (DSUMF's) Investment Service is a financial service available to all agencies, boards, churches, and church foundations of the Desert Southwest Conference (DSC) of the United Methodist Church (UMC). The Service provides professional management of long term funds at a moderate risk with a favorable rate of return in a portfolio that is screened according to the Social Principles of the UMC.

2. What is the Desert Southwest United Methodist Foundation (DSUMF)?

The DSUMF is a 501 c (3) non-profit organization separately incorporated from the DSC of the UMC in 1985. The Foundation is self-governing, and operates as a service bureau for the agencies, boards, churches, and church foundations of the DSC. The Foundation's Board of Directors is self-electing. Directors may serve a maximum of two consecutive four-year terms; officers may serve a maximum of two consecutive 1-year terms. Directors are recruited based on their interest and commitment to a financially strong conference foundation as well as their expertise in financial matters. Director's occupations include: Marketing Director for Albertsons (Ret.), NAU professor of Accounting, Financial Advisor for American Express (Ret.), Controller for Lockheed Martin (Ret.), Engineer for Motorola (Ret.), President Emeritus of Planned Giving Office of Maricopa County Community Colleges Foundation (Ret.), U of A professor of Economics (Ret.), etc. Short [biographies of our directors](#) are posted on the [DSUMF's website](#).

3. What is the Foundation's Mission and Purpose?

The mission of the Desert Southwest United Methodist Foundation is to provide educational, investment, and consulting services to the churches, agencies, and boards in the Desert Southwest Conference (DSC) so they can fulfill their ministries with a secure financial future; and to stimulate planned giving in the congregations of the Desert Southwest Conference so that individuals, couples, and families are faithful stewards of the resources God provides them.

Authorization for both the existence of, and the services offered by the DSUMF is derived from the purposes of a Conference Foundation as detailed in ¶ 2513 of the **Book of Discipline**. The purposes are:

- A. Receive, collect, hold and administer in trust, donations, bequests, and other planned giving for any UMC church or program of the DSC.
- B. Promote planned giving (e.g., Charitable Gift Annuities, Trusts, etc)
- C. Furnish counsel and guidance to churches on permanent endowments.
- D. Other responsibilities as determined by the Desert Southwest Conference.

4. What the DSUMF does not do:

- A. The DSUMF's Investment Service is not available to individuals.
- B. The DSUMF does not sell its own securities.
- C. The DSUMF does not invest in individual, speculative real estate projects.
- D. The DSUMF does not borrow money.

5. What is the Foundation's Investment Strategy?

The DSUMF's investment strategy is a risk managed approach consistent with moderate to long term growth. Equities are divided into large, mid, and small cap with growth and value objectives, domestic and international. Periodically, we evaluate the model itself and rebalance our portfolio to insure that we are maximizing returns within acceptable risk tolerances. The purpose of this spread is to provide diversification so we can achieve favorable returns at moderate risk.

While managing the portfolio's risk, the DSUMF shall also focus on the **Long Term Growth** and **achieving a Total Return** consistent with a socially beneficial result in accord with the Social Principles of the United Methodist Church. Long-term appreciation on an investment basis rather than short-term gains on a speculative basis shall be pursued.

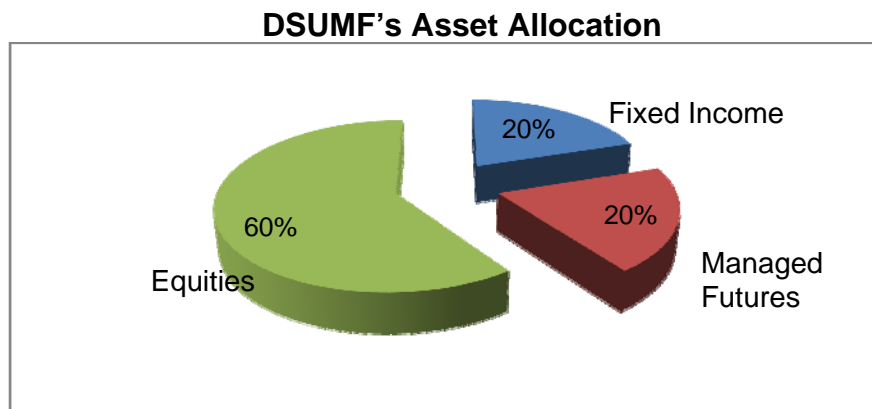
More specifically, **The Goals** of the DSUMF's Investment Strategy are to:

- A. Provide long term growth in relation to market inflation and deflation over the long-term (3+ years) and,**
- B. Maintain the buying power of the assets under management in relation to market inflation and deflation over the long-term (3+ years).**

6. How is the Foundation's Portfolio Constructed?

The Foundation's Portfolio includes deposits from agencies, boards, churches, and church foundations of the DSC. The portfolio also includes Charitable Gift Annuity accounts, Charitable Trust accounts, and Donor-Designated Endowment Funds.

The portfolio is socially screened. DSUMF adheres to the Social Principles of the United Methodist Church; therefore there are no "sin stocks" in the portfolio, e.g., alcohol, tobacco, pornography, gambling, etc. (See Paragraph 716 of the 2008 Book of Discipline of the United Methodist Church). The portfolio is actively managed by 13 different asset managers that are constantly trading securities based on their "buy and sell" disciplines and prevailing market conditions. Asset managers are selected based, in part, on their ability to adhere to the Social Principles of the UMC. The portfolio's Asset Allocation Model requires 60% of the portfolio to be invested in equities, 20% of the portfolio to be invested in fixed instruments, and 20% to be invested in managed futures.



Stock investments include positions in large-cap growth and value, mid-cap growth and value, International large cap growth and value, and small-cap core investments. The fixed investments include positions in U.S. Treasuries, government-backed agencies, corporate bonds, and collateralized mortgage obligations. Holdings in currencies, energy, commodities and metals comprise the managed futures.

DSUMF has studied and uses Modern Portfolio Theory as postulated by Harry M. Markowitz, Ph.D., who received the Nobel Prize in economics for developing the theory. The theory presents a statistical method for analyzing investment risk and reward, and it influences nearly all portfolio management today. Investments in managed futures are well known to be non-correlated to the markets. Since managed futures are non-correlated to market swings, they have an overall effect of reducing a portfolio's level of risk. They also enable a portfolio to generate earnings when markets are up and when markets are down.

DSUMF's allocation to managed futures (20%) is split between two different asset managers. One manager, Campbell (7.5% of the portfolio) is a "quantitative" manager that buys and sells based on sophisticated, proprietary computer modeling that analyzes trends. Campbell Trust's investments include positions in Interest Rates, Foreign Exchanges, Equity Indices, Metals, Agriculture, and Energy.

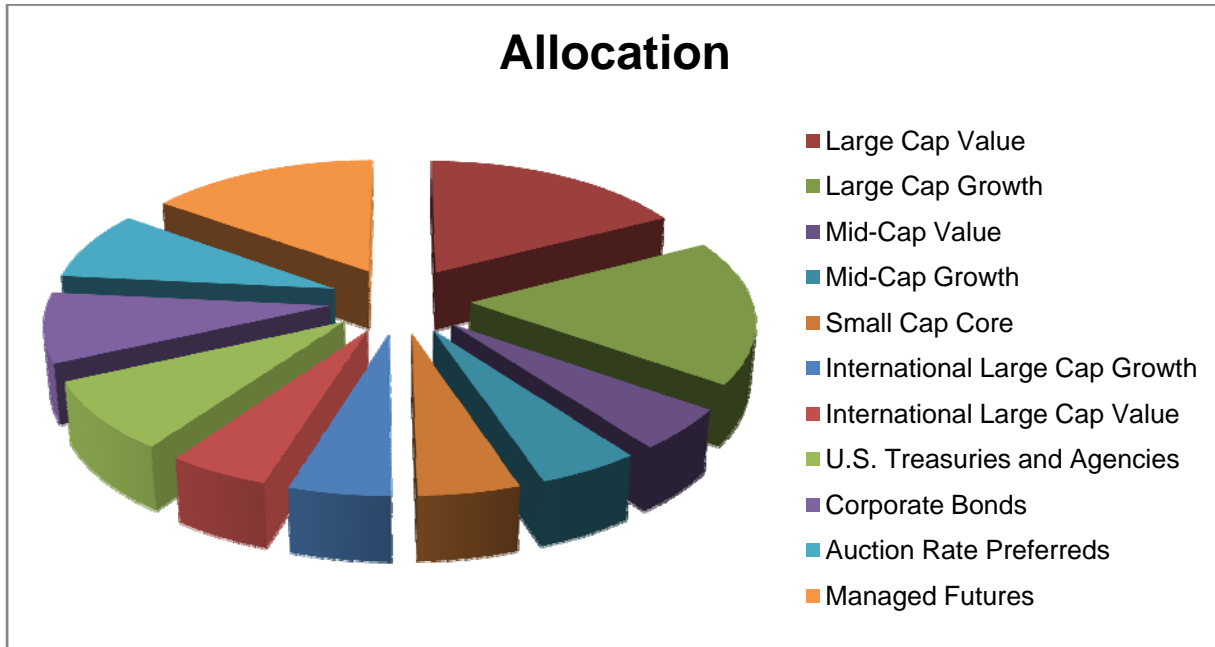
The other manager, Graham Capital Management (12.5% of the portfolio) uses *both* the "quantitative" trend-following approach as well as a "skilled judgment" approach on a 40%/60% basis respectively. Graham Capital Management's current investments in order of weighting (highest to lowest) include currencies, international and U.S. based fixed income, stock indices, metals, agriculture, and energy. While there is some overlap between the two managers, the combination of the two different investing styles provides for both diversification and lower portfolio risk.

7. Who Advises the Foundation on its Investment Portfolio?

Wachovia Securities, LLC, now Wells Fargo Advisors was hired in October of 1999 to be the Foundation's Broker of Record, to carry out certain due diligence activities, to provide research and advice, and to serve as the Foundation's custodian. Additional portfolio oversight is provided by the Foundation's Executive Director, Controller, Investment Committee, and the Board of Directors.

Investment Committee members are either members of DSUMF's Board of Directors who choose to be on the Investment Committee because of interest and/or expertise, or representatives of depositing organizations. Depositors are encouraged to provide representation to our Investment Committee. The Committee meets every month either via toll-free teleconference or face-to-face to review the changes in the portfolio, oversee the investments and determine if the portfolio needs to be re-balanced to maintain the 60/20/20 ratio.

8. What is the Portfolio's Asset Allocation Model?



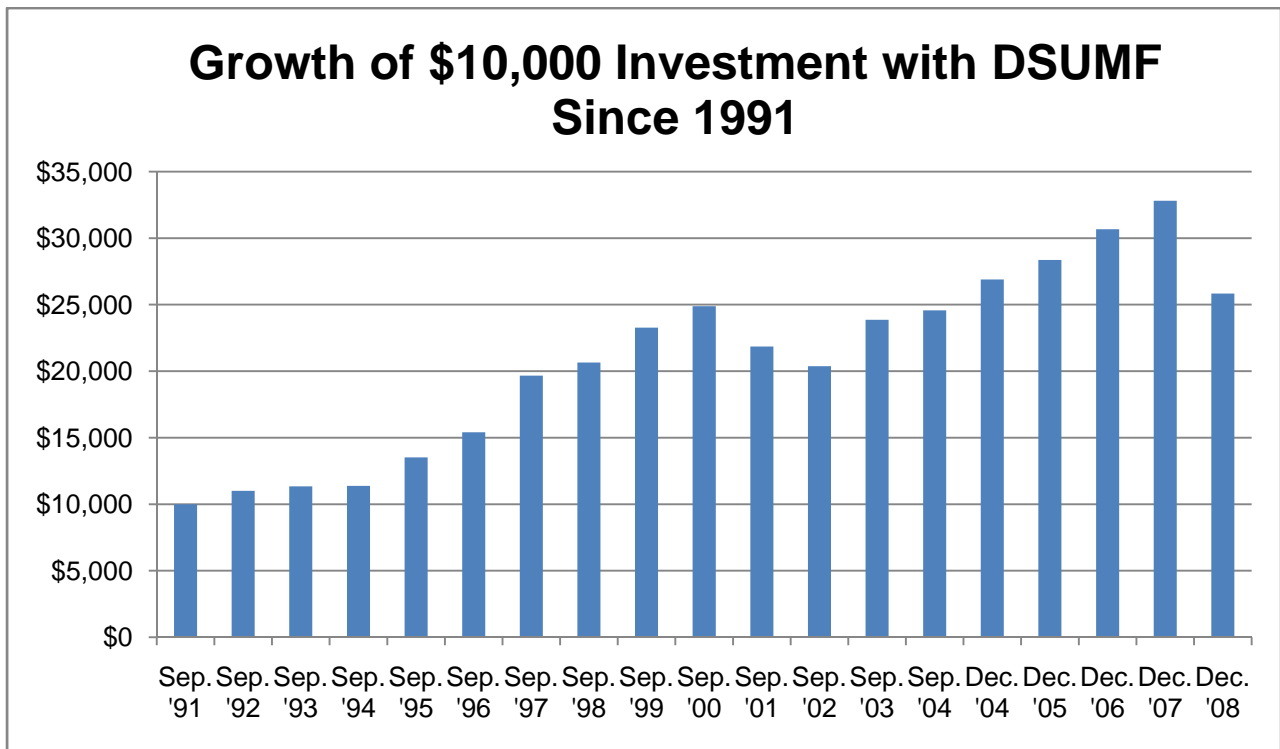
DSUMF 2009 Asset Allocation Model

Asset Manager	Specialty	Percentage of Portfolio
MFS Institutional Advisors	U.S. Large-Cap Value	17.5%
Wells Capital Mgmt	U.S. Large-Cap Growth	8.75%
Renaissance	U.S. Large-Cap Growth	8.75%
NWC	International Large-Cap Value	5.00%
Nenworth, Hauser	International Large-Cap Growth	5.00%
Calamos Asset Management	U.S. Mid-Cap Growth	5.00%
Systematic	U.S. Mid-Cap Value	5.00%
Kayne Anderson	Small Cap Core	5.00%
Allegiance Capital, Inc.	Intermediate Duration Fixed Income	8.75%
PIMCO	Total Return Fixed Income	8.75%
CMO	Collateralized Mortgage Obligations, Fixed Income	2.50%
Campbell & Co. Fund Trust	Managed Futures	7.50%
Graham Capital Management	Managed Futures	12.50%
	Totals:	50% Equity 20% Fixed Income; 20% Managed Futures

9. How has the Foundation Performed over Time?

An **historical analysis** of the Allocation Model with the asset managers listed in the chart above suggests that the foundation's portfolio has consistently out-performed the benchmark indices.

An investment of \$10,000 with the DSUMF in late 1991 would have grown in value to \$25,829 at year end 2008. This is an increase of \$15,829 or 158%.



10. Who Manages the Foundation's Investment Assets?

Through Wells Fargo Advisors, the Foundation uses the services of thirteen different professional asset managers. Each asset manager specializes in a specific market class, or investment style. Each asset manager invests a specific percentage of the total portfolio as determined by the Asset Allocation Model and as detailed in the Foundation's Investment Policy Statement (IPS). Asset managers constantly monitor the swings of the market and the effects of their trading activities on the total rate of return generated. When deemed appropriate, asset managers buy and sell securities within the parameters set forth by the DSUMF's IPS. Each of the asset managers met stringent standards established by the research and due diligence branches of Wells Fargo Advisors, and was hired by the DSUMF based on several factors:

- A. Ability to adhere to purchase only positions that adhere to the Social Principles of the UMC,
- B. Above average, long-term performance relative to their respective peer groups, and appropriate indices as evaluated by industry statistics such as "standard deviation" (a measurement of risk), "beta," (another measurement of risk), "alpha" (a measurement of a manager's skill independent of market fluctuation), and "Sharpe Ratios" (a measurement of a manager's risk-adjusted return vs. an appropriate index).
- C. The Foundation's moderate risk tolerance, and
- D. The Asset Manager's adherences to their own published "Buy and Sell" disciplines.

11. What is the Legal Framework for Managing the Portfolio?

There are laws associated with investing a non-profit organization's money. The DSUMF understands these laws and is in complete compliance with all of them. The DSUMF takes its fiduciary responsibilities very seriously. Our investment policy statements and guidelines have been developed to comply with the "Prudent Man Rule," as well as all 27 investment practices required by three different Acts of Congress. The most recent of these acts, the Uniform Management of Institutional Funds Act of 1972 has been revised. The revised Act was enacted by the State of Arizona in February of 2008. The revised law is called the Uniform Prudent Management of Institutional Funds Act, or UPMIFA. DSUMF complies with all requirements of this newly enacted law.

In addition to identifying factors that a non-profit organization must consider in making management and investment decisions, UPMIFA requires the organization and those who manage and invest its funds to:

- A. Give primary consideration to donor intent as expressed in a gift instrument,
- B. Act in good faith, with the care that an ordinarily prudent person would exercise,
- C. Incur only reasonable costs in investing and managing charitable funds,
- D. Make a reasonable effort to verify relevant facts,
- E. Make decisions about each asset in the context of the portfolio of investments, as part of an overall investment strategy,
- F. Diversify investments unless due to special circumstances, the purposes of the fund are better served without diversification,
- G. Dispose of unsuitable assets, and
- H. In general, develop an investment strategy appropriate for the fund and the non-profit organization's purpose as stated in its articles of incorporation.

Members, or committees of churches who make direct investment decisions that are not in compliance with all 27 investment practices required by law and the most recently enacted UPMIFA are in violation of law and may be held personally liable for losses experienced by their investment decisions. They are therefore open to litigation that may be brought by any "disgruntled" individual or group of the church that feels harmed by those losses in any way. Members and committees can avoid personal liability only if they are in compliance with both UPMIFA provisions and all 27 prudent investment practices as required by law.

12. How Do You Open an Account with the DSUMF?

When your church or agency opens an investment account with the Foundation, the Foundation's Controller will work with you to establish the parameters of your account and identify any/all sub-accounts you may already be tracking. (Some of our depositors have as many as six different sub-accounts with different income allocation rules for each account.) Your deposit is agglomerated with all other deposits in the portfolio.

Our Controller will monitor all transactions both within your account and the entire portfolio. A monthly statement including the totals in your account along with totals for each of your sub-accounts is produced and e-mailed to you, on or about the 10th day after the close of a month. The statements provide a line-item accounting including audit adjustments, contributions, disbursements, the percentage of the portfolio that is owned by your investment, interest and

dividends allocated, realized gains, (losses) allocated, unrealized gains (losses) allocated, balance before fees, fees allocated, and balance after fees allocated.

A monthly statement cover memo providing monthly and year-to-date gross and net rate of return comparisons to several major indices is also produced and posted on our web site at www.dsumf.org/investments.

Any depositor may request quarterly, semi-annual, or annual distributions of the interest and dividends and/or realized gains from their account. The foundation maintains no ownership or distribution control over the funds invested. *There are no additional fees charged for distributions.*

By DSC rule passed at Annual Conference in 2005, all United Methodist entities must secure an **annual audit** on their funds. This means that every agency, board, church, and church foundation must have an annual audit. All funds under DSUMF's management are included in its independent, annual audit, which is performed by an auditing firm that specializes in audits for non-profit organizations. We pay for our annual audit with income derived from management fees. This means that all funds placed with us by agencies, boards, churches, and church foundations are audited annually by an independent auditor. At no extra expense beyond our annual management fee, United Methodist entities using our Investment Service are automatically in compliance with the DSC Audit Rule. *There are no additional fees charged for the annual audit.*

13. Are there any Restrictions on Deposits?

The minimum deposit required to open an account is \$5,000. Since all funds are placed "on the market" they are therefore "at risk." The funds placed with us should be long-term funds, meaning they are funds that are not absolutely necessary for the day-to-day operational expenses of your church or agency. All deposits made, whether initial or additional should be in the form of a check made payable to the Desert Southwest United Methodist Foundation or "DSUMF," or deposits may be made through electronic transfers from one brokerage firm to ours using the proper Direct Transfer Corporation, and Account numbers. All depositors may add any amount to their account at any time. *There are no additional fees charged for deposit transactions.*

14. Are there any Restrictions on Withdrawals?

The Foundation maintains no ownership control over the funds under management. Accordingly, an investor can withdraw any or all of their funds at any time without penalty. Withdrawal requests shall be made via e-mail, fax, or U.S.P.S. If a depositor requests funds that exceed an amount the Foundation normally holds in a "cash account," then asset managers are ordered to sell positions on a pro-rata basis to generate the cash needed for the withdrawal requested. This process takes 3 to 5 business days depending on the amount of the withdrawal.

All distribution requests will be handled in the shortest period of time possible, but in an attempt to facilitate a regular flow of work at the DSUMF, please be advised that the DSUMF makes checks on the 15th and the 30th of each month. Please provide withdrawal notice by the 10th or the 20th of each month. If your request is of an emergency nature, then DSUMF has actually hand-delivered checks to depositors on the same day of the request. *There are no additional fees charged for withdrawal transactions.*

Your request to close an account must be made on your organization's letterhead, dated, and signed by those parties authorized by the appropriate entity to conduct such transactions on behalf of the depositor. The time between distribution requests to your actual receipt of funds involves a series of processing steps that include both the brokerage firm and our Accounting Department. All processing steps are designed to maintain sound internal accounting controls. Account closings will be conducted quickly, and professionally. *There are no additional fees charged for closing an account.*

15. Can a Depositor have a Customized Asset Allocation?

For larger depositors, DSUMF offers a Custom Choice Investment Option. For depositors with \$500,000 or more to invest, the DSUMF permits the depositor to choose their own Asset Allocation Model. Allocation choices range from 100% stocks and 0% bonds to 100% bonds and 0% stocks. Special fees are associated with this option and there are some restrictions as to when transfers or withdrawals can occur with accounts in this Custom Choice Product.

For example, before a transfer or withdrawal can be made free of charge, the account must be under management for a full calendar quarter after the date of your deposit. Withdrawals or transfers made before the end of a calendar quarter will cause a transaction fee of 5 basis points against your account (0.0005 x account balance). In addition, if your end-of month balance drops below the minimum deposit required for this investment option (\$500,000) because of withdrawals **and** your account is not brought up to the minimum balance required before the following month's end, then DSUMF will: 1) assess a 5 basis point transaction fee **and** transfer your account into the 60/20/20 portfolio, at which point, the standard, published management fee will apply.

16. What is the Process for Gifted Securities Transfers?

When a donor gives securities to a church, 99.99% of the time the church will want to sell the security to generate cash for the donor's intended purpose. In order for a church to sell the security, it must have a relationship with a brokerage firm. If the church doesn't have the right kind of relationship with a brokerage firm, there will be a commission charged by the brokerage to negotiate the gifted security. These commissions are percentage-based, so the commission increases with the size of the gift, leaving less to the church or its intended ministry.

If the church is not familiar with the transaction process, it could take months before all the transactions are completed, especially if the church receives an actual stock certificate that is still in the donor's name. A transaction time frame of a couple of months is not very convenient for the donor who makes a gift in the second week of December and is expecting to be credited for their gift by year's end for income tax deduction purposes.

Once the security is turned into cash for the donor's intended purpose, the church, is responsible for completing: 1) a properly worded "Donation Letter," if the gift is valued at more than \$250, 2) an IRS Form 8282, if the gift is valued at more than \$500, and 3) an IRS Form 8283 if the donor intends on claiming the gift as a deduction on an itemized tax return. The Fair Market Value of the gifted security on the date of receipt is required on one form, and the amount received on the date of sale is required on the other form. DSUMF refers to these documents as the "Donor Packet." Preparation of these three documents by the church is *required by the Internal Revenue Code*. Therefore preparation of the Donor Packet requires specialized knowledge that most churches do not have readily available.

In addition to preparing and distributing the documentation in a reasonable time, the church is required to produce certain records for permanent files that are required by auditors. If a church is accepting gifted securities and is not producing either the Donor Packet or permanent transaction records, then it runs the risk of “failing” a professional audit.

When churches using our investment service encourage their members to transfer their gifts of securities through the DSUMF, the gifted security comes directly to DSUMF and not to the church. Therefore, DSUMF is the recipient organization that becomes responsible for producing all the proper “paper trails” required. We also maintain permanent records both in “hard copy,” and electronic formats. To affect a gift of securities, an investment service depositor needs to give the transfer instructions to their member/donor and then sit back and wait for a check from DSUMF. We also properly prepare the Donor Packet in a timely fashion.

17. What are the Fees for Gifted Securities Transfers?

- A. All depositors, regardless of balance carried in their accounts will qualify for three free security transfers per year.
- B. One additional free Security Transfer will be provided to each depositor for each additional increment of \$35,000 balance carried in their account.
- C. If a properly notified depositor continues to carry a balance less than the minimums required above, then each additional security transfer shall generate a fee of \$350.
- D. A new church start with the minimum balance requirements (\$5,000) will be exempt from the Security Transfer fees. Free security transfers will be provided for 5 years from date of inception (for this purpose inception is defined as the date the Conference assigns a pastor).
- E. The \$350 Gifted Security Transfer Fee is to be subtracted and retained from proceeds realized in the sale of the security prior to distribution of net proceeds to the church.

18. How is the Portfolio Evaluated?

The Foundation’s Investment Committee performs a comprehensive, quarterly portfolio analysis. The analysis evaluates the portfolio’s rate of return in comparison to a composite index which serves as a benchmark. Beyond the simple rate of return analysis, the Committee also evaluates the portfolio’s performance in relation to risk and its benchmark using statistical tools including “standard deviation,” “Alpha,” “Beta,” and “Sharpe Ratios,” because in the final analysis, the DSUMF’s Investment Service is all about managing risk. We can never predict what the markets will do, but we can and do monitor the level of risk we are willing to take on behalf of our depositors. Our asset managers, or “Prudent Experts” do the investing within parameters established by the Foundation’s IPS; we manage the amount of risk we permit our managers to take with your funds.

In addition to analyzing overall portfolio risk, each asset manager’s performance is measured using the same statistical tools including “standard deviation,” “Alpha,” “Beta,” and “Sharpe Ratios” in relation to their relevant indices. We also use a proprietary formula called our Weighted Percentile Ranking, which is yet another tool used to evaluate an asset manager’s performance relative to their peers who are investing in similar style-specific markets. If an asset manager is not performing up to our standards as detailed in our IPS, then there is a process to terminate the manager’s services and to hire the services of a new asset manager in their place. Since the 2nd Quarter of 2000, ten asset managers have been replaced for poor performance.

19. What are the Fees for the Investment Service?

There is a Management Fee for service. The fee is taken monthly on the account's end-of-month balance by charging that balance 1/12th of the annual fee. The fees are automatically deducted from your account and placed into the DSUMF's accounts. From this management fee income, the Foundation, in turn, pays for all costs associated with managing your funds, including: 1) asset managers' fees, 2) brokerage fees, 3) monthly accounting, 4) annual, independent audits, and 5) all transaction activities such as deposits, withdrawals, distributions, and gifted securities transfers. **There are no hidden costs. The DSUMF's investment management fee is an ALL-INCLUSIVE FEE.**

Unless a depositor chooses the "Custom Choice Option," or the depositor opens an account with more than \$2,000,000, the annual, all-inclusive fee is 1.85%. For Custom Choice Option fees, please contact the DSUMF with your desired Asset Allocation Model, and the Foundation will provide a specific quote based on your needs.

In all the years the DSUMF has been providing Investment Services, it has never increased its fee.

Management Fee

Account Size in \$'s	Current Management Fee
\$5,000 to \$2,000,000	1.85%
\$2,000,001 to \$3,000,000	1.75%
\$3,000,001 to \$4,000,000	1.65%
\$4,000,001 to \$5,000,000	1.55%
\$5,000,001 & Above	1.45%

SAMPLE INVESTMENT CONTRACT

INVESTMENT SERVICE AGREEMENT BETWEEN
THE DESERT SOUTHWEST UNITED METHODIST FOUNDATION
AND
Your DSC UMC-Related Organization

The Desert Southwest United Methodist Foundation (DSUMF) agrees to provide Your DSC UMC-Related Organization, located at 123 Main St. Home Town, AZ 85123 with investment management services. These services will include the distribution of:

- (1) Monthly Accounting Reports from the Foundation's accounting service,
- (2) Monthly Statement Cover Memos by posting on our website: www.dsumf.org,
- (3) A copy of our annual audit,

*Check the boxes you prefer for (4) and (4A) or the box for (5) below.
Do not check boxes in both (4) and (5) below.*

- (4) Checks representing the earnings (check all you want to apply):
 - Interest
 - Dividends
 - Realized capital gains

- (4A) on a (check one):
 - Quarterly
 - Semi-annual
 - Annual basis

OR

- (5) Reinvest all earnings; send no checks until notified by written request

A complete copy of the Foundation's Investment Policy Statement is available for inspection in the Foundation's Offices located at 1550 E. Meadowbrook Ave., Phoenix, AZ 85014-4040.

All funds received by the Desert Southwest United Methodist Foundation will be agglomerated to its existing investment account with Wells Fargo Advisors. These funds are under the direction of the Foundation's Investment Committee of the Board of Directors. The Board of Directors retained the services of Wells Fargo Advisors, and more than sixteen professional asset managers to invest the Foundation's portfolio. Any future changes in this management team will cause immediate and formal notice to be issued to all depositors for which the Foundation is providing investment management services.

Funds in any amount (Minimum initial deposit should be \$5,000) may be deposited with the Foundation. Deposits, in the form of a check, should be made payable to the Desert Southwest United Methodist Foundation or "DSUMF." Electronic transfer of stocks and/or bonds from an existing account to the DSUMF's account with Wachovia is also possible (see attached instruction sheet). Additional deposits of any amount may be made at any time. All deposits will be acknowledged by receipt. There are no additional fees for deposits or withdrawals.

You may withdraw any of your funds at any time, with or without reason. Your request for funds must be via fax, E-mail, or regular U.S.P.S. mail and must be made by authorized personnel. If you choose to close your account, such request must be made in writing, on letterhead, dated, and signed by authorized personnel. The Foundation makes checks on the 15th and the 30th of each month. Please provide withdrawal notice by the 10th or the 20th of each month. At the time an account is closed, unrealized capital gains will be distributed on a pro rata basis.

An annual investment management fee will be assessed by the Foundation to cover its administrative costs of investing, accounting for and auditing your investment account. The Management Fee is based upon the type and amount of your account. One twelfth of the annual fee will be calculated and deducted monthly based on your account's "Before-Fee-End-of-Month Balance."

The Annual Management Fee for this Agreement with **Your DSC UMC-Related Organization** is _____%.

This agreement provides no guarantees as to the return achieved by the Foundation's investment strategy. Past performance does not guarantee future results. Your deposit with the Foundation automatically means your funds will be invested "in the market." Please do not invest funds that are required for short-term expenditures. Only long-term funds (3-5 years) should be placed on the market.

For The Foundation
Ralph J. Serpico
Executive Director, DSUMF

Date

For Your UMC-Related Organization

Title: _____

Date

For Your UMC-Related Organization

Title: _____

Date

Check Number

Amount

Electronic Transfer Instructions

MEMO

TO: Depositors/Donors
FROM: Ralph J. Serpico
RE: Securities Transfer Instructions
Date: June 9, 2009

To electronically transfer Stock, Bond, or Mutual Fund assets to the Desert Southwest United Methodist Foundation:

1. Provide us with your complete name, address, city, state, and zip code so that we may send you proper documentation for your transaction.

Please Note: Patriot Act and Privacy regulations eliminate our ability to determine who initiates a transaction after it is received. You must provide us with your contact information **before** you initiate a transfer.

2. Provide your financial advisor with the following information:

- A. Foundation's Brokerage: Wells Fargo Advisors
2415 E. Camelback Rd., Suite 1000
Attn: Jim Kinnear or Kurt Reighard
(602) 952-5163 or (602) 808-5667
- B. DTC Number: 0141
- C. Account Name: Desert Southwest United Methodist Foundation
- D. Account Number: 2512-2161
- E. Foundation's Tax I.D. #: 86-0621325

3. Instruct your financial Advisor to transfer assets through the DTC system and to the account number listed in item "D" above.

4. Via e-mail using the foundation@dsumf.org address, instruct DSUMF as to the use/distribution of the funds realized after our sale of your gifted securities.

Current Client List 2009

1. Aldersgate UMC Foundation
2. Asbury UMC
3. Blythe Community UMC
4. Boulder City UM Fellowship
5. Caliente Community UMC
6. Central East District Union Trust
7. Central West District Union Trust
8. Christ Church UM Foundation - Tucson
9. Church of the Good Shepherd UMC
10. Desert Mission UMC
11. Desert Skies UMC
12. Desert Spring UMC
13. DSC Claremont
14. DSC General Camping Trust Account
15. DSC Hispanic Ministry Account
16. DSC Mingus Mountain Trust Account
17. DSC New Faith Community Endowment Fund
18. DSC Pacific Homes Account
19. DSC Pre-1982 Pensioner Fund
20. DSC Pine Canyon Trust Account
21. DSC Potosi Pines Trust Account
22. DSC U of A Parsonage Account
23. Duncan UMC Endowment Fund
24. Duncan UMC Investment Fund
25. El Divino Salvador Parsonage Fund
26. Epworth UMC
27. Faith Springs UM Foundation
28. Finance Committee of First UMC of Tempe
29. First UMC of Mesa Foundation
30. First UMC of Mesa Oak Fund
31. First UMC of Mesa Trustee Account
32. First UMC of Mesa Baum Youth Ministries Account
33. First UM Foundation – Tucson
34. First UMC of Winslow
35. Foundation of First UMC of Tempe
36. Gold Canyon Scholarship Fund
37. Gold Canyon UMC
38. Grace UMC Foundation
39. Green Valley Community Church Foundation, Inc. – Green Valley, AZ
40. Green Valley UMC (Henderson, NV)
41. Haven UMC
42. Paradise Valley UM Foundation
43. Primera Iglesia Metodista Unida
44. Red Mountain UMC
45. Scottsdale UM Foundation
46. South District Builders Union
47. Spirit of Joy UMC
48. St. Francis in the Foothills
49. St. Matthew UMC
50. St. Paul's UMC
51. Sun Lakes UMC
52. The Fountains, A UM Church
53. Trinity UMC Endowment Account
54. Tucson Metropolitan Ministries
55. Volunteers In Mission
56. Western Jurisdiction Fellowship of Communicators
57. Willcox UMC
58. Willowbrook UM Foundation of Sun City
59. Youngtown UMC
60. Youngtown UMC Parsonage Account
61. Will Your Church Be Our Next Investment Family Member??

